

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Joseph G. GATTO CONFIRMATION No.: 9235
SERIAL NUMBER : 09/775,599 EXAMINER: Narayanswamy Subramanian
FILING DATE : February 5, 2001 ART UNIT: 3695
FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the document listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application under

37 C.F.R. §1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required. 37 C.F.R. §1.97(b).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

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